## **METHODOLOGY**

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# Validation of appropriate estimation criteria for the number of components for separating a polymodal grain-size distribution into lognormal distributions

Naofumi Yamaguchi<sup>1\*</sup>

## Abstract

Polymodal particle size distributions are generally analyzed by separating them into lognormal distributions, but estimating the precise number of lognormal components required remains a considerable problem. In the present study, appropriate evaluation criteria for the estimation of the number of components were examined by using artificial data for which the true number of components was known. The characteristics of estimations of the number of components by four evaluation criteria, the mean square error (MSE), Akaike information criterion (AIC), Bayesian information criterion (BIC), and adjusted R-squared (ARS), were investigated. The results showed that the MSE and ARS were less sensitive to the true number of components and tended to overestimate the number of components. By contrast, the AIC and BIC tended to underestimate the number of components, and their correct answer rates decreased as the true number of components increased. The BIC tended to include the true number of components among its higher ranked models. The present evaluation results suggest that the MSE, although frequently used, is not necessarily the most appropriate evaluation criterion, and that the AIC and ARS may be more appropriate criteria. Furthermore, checking whether the number of components estimated by the AIC or ARS is included among higher ranked BIC models might prevent overestimation and thereby allow for more valid estimation of the number of components. When the criteria were applied to grain-size distributions of lacustrine sediments, it was possible to estimate the number of components that reflected differences in grain-size distribution characteristics.

Keywords Polymodal grain-size distribution, Lognormal distribution fitting, Estimation criteria, Grain-size analysis

## **1** Introduction

The sediment grain-size distribution is fundamental information for various types of sediments and is used in many different fields because it reflects the origin of the sediments, the transport processes that acted on them, and the strength of the experienced transport forces. Sediment grain-size characteristics have been used, for

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example, to reconstruct past climate events and changes of depositional environments. The grain-size distributions of lake sediments have been used as an indicator of hydrological conditions associated with climatic and environmental changes in the region where the lake is located (e.g. Håkanson and Jansson 1983; Xiao et al. 2009; Dietze et al. 2014; Lu et al. 2018). Grain-size characteristics of aeolian sediments, including loess, have been regarded as a meaningful paleoclimatic proxy (e.g. Vandenberghe et al. 1997; Sun et al. 2002; Sun 2004; Qin et al. 2005; Lim and Matsumoto 2006; Machalett et al. 2008; Antoine et al. 2009; Vandenberghe 2013; Lin et al. 2016; Schulte et al. 2018). Tephra grain-size distributions



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have been used to estimate the characteristics of volcanic eruptions and the dispersal of particles in the atmosphere (e.g. Rose and Durant 2009; Burden et al. 2011; Engwell and Eychenne 2016; Rossi et al. 2019; Miwa et al. 2020). In addition, several methods have been proposed to estimate the transport pathways of modern surface clastic sediments based on spatial trend analyses of their grain-size characteristics (McCave 1978; McLaren 1981; McLaren and Bowles 1985; Gao and Collins 1992; Le Roux and Rojas 2007; Yamashita et al. 2018). Therefore, accurate methods of grain-size analysis and suitable interpretation of grain-size distributions are an important topic in Earth science.

One major issue in the analysis of sediment grain-size data is how to deal with a complex distribution. Previous studies have commonly assumed a unimodal distribution and used representative statistical parameters such as median diameter, sorting, skewness, and kurtosis to characterize the sediment grain size (Folk 1966). However, the grain-size distribution of sediments in various natural environments is frequently complex and polymodal rather than unimodal. In particular, with the advent of laser grain-size analyzers, which reveal grain-size distributions over a wide size range at high resolution, it has become clear that polymodal distributions are frequent for various types of sediments. For example, lake sediments, which may have multiple sources and be affected by multiple sedimentary processes, frequently have polymodal grain-size distributions. Such distributions complicate the analysis and interpretation of the representative parameters calculated by assuming unimodality. Aeolian deposits, including loess, also frequently have complicated polymodal grain-size distributions. Such polymodal grain-size distributions are thought to reflect multiple sediment sources and sedimentary processes, and each mode is thought to preserve environmental information associated with them (Tanner 1964; Visher 1969; Middleton 1976; Ashley 1978). Therefore, it is not appropriate to use just a few representative parameters based on assumed unimodality to interpret polymodal grain-size distributions. Appropriate analysis of such complex grain-size distributions can potentially allow each of the multiple origins and transport processes that produced the sediment deposit to be reconstructed and to provide additional valuable information.

Several methods have been proposed for analyzing complex polymodal distributions of grain size, including end-member mixing analysis (e.g. Weltje and Prins 2007; Parris et al. 2010; Dietze et al. 2012, 2013; Ijmker et al. 2012; Yu et al. 2016) and discrete parametric curve fitting. The latter method of separating grain-size distributions includes the use of prescribed distribution functions such as the Weibull distribution (Lim and Matsumoto 2006; Park et al. 2014; Wu et al. 2020; Peng et al. 2022a) and lognormal distributions as components of the polymodal distribution. In particular, a number of previous studies have used lognormal distributions (Qin et al. 2005; Xiao et al. 2009, 2012, 2013, 2015; Fettweis et al. 2012; Wang et al. 2014; Gammon et al. 2017; Lu et al. 2018; Miwa et al. 2020). The curve-fitting method with lognormal distributions is based on the assumption that various grain-size distributions can be represented as a single lognormal distribution or a mixture of several: for example, a unimodal grain-size distribution with an asymmetrical or skewed shape can be regarded as a mixture of several lognormal distributions (e.g. Tanner 1964; Ashley 1978). Thus, a given polymodal grain-size distribution can be decomposed into several components consisting of normal distributions on a logarithmic scale. Methods based on parametric curve fitting, including the lognormal distribution function fitting method, have the advantage that they can be used for a single sample, whereas end-member modeling analysis requires multiple samples. The lognormal distribution function fitting method has been successfully used to obtain information on past environmental changes from both lacustrine and aeolian sediments (Qin et al. 2005; Xiao et al. 2009, 2012, 2013, 2015; Gammon et al. 2017; Lu et al. 2018).

The lognormal distribution function fitting method involves two processes: (1) estimation of the parameters of the appropriate lognormal distributions (i.e. the mean and standard deviation of each, and their mixing proportions) and (2) determination of the number of components. Commercial data analysis software (e.g. Igor Pro, PeakFitNagashima et al. 2004; Wang et al. 2014; Gammon et al. 2017; Miwa et al. 2020), original programs (e.g. Sun et al. 2002; Sasaki and Kiyono 2003; Xiao et al. 2012, 2013), and R platform packages (e.g. Buckland et al. 2021) have all been used to estimate the lognormal distribution parameters. In particular, several easy-to-use R packages that have appeared in recent years have made parameter estimation easier. By contrast, a method for determining the number of components has not yet been established so this process remains arbitrary. The number of components has been estimated from the number of peaks in the grain-size distribution (e.g. Xiao et al. 2012), or by using the mean square error (MSE) as an evaluation criterion (e.g. Xiao et al. 2013). When the MSE is used as an evaluation criterion, the model for the number of components with the smallest MSE is adopted, or that model is adopted having the smallest number of components with the MSE value below a certain threshold value. Using the number of peaks in the grain-size distribution may lead to underestimation of the number of components because it can fail to find a small component hidden by a larger adjacent component. In addition, it has been generally pointed out that use of the MSE has the statistical problem that the number of components may be overestimated in evaluations based solely on the agreement of the curve fitting to the original data using the MSE value (Bishop 2006). Although several other evaluation criteria, including the Akaike information criterion (AIC; Akaike 1973) and the Bayesian information criterion (BIC; Schwarz 1978), have seen generally wide use for the estimation of the number of components, they have not yet been applied to the separation of grainsize distributions, nor has their validity been examined. In the present study, therefore, appropriate criteria for evaluating the number of components and their estimation characteristics when separating a grain-size distribution into lognormal distributions were investigated. Separation tests were carried out on artificial grain-size distributions for which the number of components and their parameters were known, and the estimation characteristics of each of four commonly used evaluation criteria were investigated to clarify the points that need to be considered when they are used. In addition, the four evaluation criteria were applied to an actual lake sediment sample, and the results were evaluated.

### 2 Method

### 2.1 Test procedure

The tests were carried out through the following procedure.

- (i) Given an artificial grain-size data at phi scale (= -log<sub>2</sub>(D/D<sub>0</sub>); D is particle size in milimeters and D<sub>0</sub> is a reference diameter, equal to 1 mm) consisting of a mixture of normal distributions, for an assumed number of components n of 1–9, the parameters of each component (mean, standard deviation and mixing proportion) were estimated.
- (ii) The values of the criteria to be validated were obtained for each of the cases in (i).

The artificial data used in this procedure, the method for estimating parameters by fitting, and the evaluation criteria considered are described in detail below.

### 2.2 Artificial datasets used for testing

A total of five artificial datasets were generated, each consisting of a different number (ranging from 2 to 6) of mixed normal distributions (components). Each dataset consisted of 1000 cases of mixed normal distributions of grain size at phi scale. For each case, the parameters of the normal distribution components used for the mixing were chosen as follows: The mean value of one component was fixed at 0 phi, and the distance between the mean values of adjacent components was set to a uniform random number ranging from 0.4 to 2 phi. The

standard deviation of each mixed normal distribution was set to a uniform random number ranging from 0.2 to 0.8 phi. The mixing proportions were set by generating uniform random numbers that summed to 100% in increments of 1%. The minimum value of a mixing proportion was set at 5%.

### 2.3 Separation into normal distributions

To decompose the artificial grain-size distributions (i.e. mixed normal distributions at phi scale) and to estimate their parameters, the expectation-maximization (EM) algorithm (Dempster et al. 1977) was employed. The EM algorithm is a frequently used iterative method for finding (local) maximum likelihood estimates of unknown parameters in statistical models (McLachlan and Krishnan 2007). The EM iteration alternates between an expectation step and a maximization step: the expectation step creates a function for the expectation of the log-likelihood using the current estimates of the parameters, and the later maximization step calculates parameters that maximize the expected loglikelihood found in the previous expectation step. This algorithm yields the mixing proportions, means, and standard deviations of the normal distributions that compose a given grain-size distribution. The analyses were performed in R (version 4.1.2; R Core Team 2021), using the package 'mixR' (version 0.2.0; Yu 2022) to run the EM algorithm.

The quality of the fitting of the mixture of normal distributions to the artificial distributions was evaluated by calculating the figure-of-merit (FOM) value (Peng et al. 2022b) as follows:

$$FOM = \frac{\sum_{i=1}^{m} |y_i - \widehat{y}_i|}{\sum_{i=1}^{m} \widehat{y}_i} \times 100\%$$

where  $y_i$  is the volume percentage of the measured grain size (i.e. the given artificial grain size in the present study) in the *i*-th grain-size interval,  $\hat{y}_i$ , is the fitted grain-size volume percentage in the *i*-th grain-size interval, and *m* is the number of grain-size intervals.

### 2.4 Criteria for determining the number of components

A total of four evaluation criteria were tested: the mean square error (MSE), which has been used in lake sediment studies (e.g. Xiao et al. 2013), and three other evaluation criteria commonly used for model selection in various fields: the Akaike information criterion (AIC), the Bayesian information criterion (BIC), and the adjusted R-squared (ARS). Each of these criteria were calculated as follows:

$$MSE = \frac{1}{m} \sum_{i=1}^{m} \left( y_i - \widehat{y}_i \right)^2$$

$$AIC = -2\ln L + 2K$$

$$BIC = -2\ln L + K\ln m$$

$$ARS = 1 - \frac{\frac{\sum_{i=1}^{m} (y_i - \hat{y}_i)^2}{m - 3n - 1}}{\frac{\sum_{i=1}^{m} (y_i - \bar{y})^2}{m - 1}}$$

where L is the maximized value of the likelihood function, K is the number of degrees of freedom,  $\overline{y}$  is the mean of  $y_i$ , and *n* is the number of components. In the present study, the number of degrees of freedom was 3n-1. Note that for the criteria MSE, AIC, and BIC, the preferred model is the one for which the value of the criterion is smaller, whereas for ARS, the preferred model is the one for which the value of the criterion is larger. MSE is simply the goodness of fit of the estimated mixture of fitting curves to the original data. Therefore, MSE tends to select excessively complex models, as described above (Bishop 2006). By contrast, the other three criteria are proposed to select the appropriate model by penalizing the increase in the number of components and the complexity of the model.

### **3 Results**

The FOM for the optimal fitting curve obtained by the EM algorithm for each mixed normal distribution case was less than 2.9%. The parameters of the normal distribution components were not always estimated correctly even when the number of true components was given, and errors in parameter estimation were more frequent the higher the true number of mixture components in the artificial grain-size distribution (Fig. 1, Additional file 1: Fig. S1). Note that the accuracy of the parameter estimation depended on the degree of overlap of the adjacent components in the artificial data. Details on this point are described in Additional file 2.

The rate at which the number of components estimated as optimal matched the true number of components  $(N_t)$  (i.e. correct answer rate) differed among the criteria and depended on the value of  $N_t$  for the given grain-size distribution (Fig. 2). For all criteria, the correct answer rate tended to decrease as  $N_{\rm t}$  increased (Fig. 2). When  $N_t$  was relatively small, the AIC and BIC tended to have higher correct answer rates than the other criteria, whereas when  $N_t$  was larger, the ARS and MSE tended to have higher correct answer rates than the AIC and BIC. When  $N_t$  was two, the BIC had the highest correct answer rate (89.6%), followed



-0.1 phi

87.0% 0 phi 87.0%

1.8 phi 13.0%

а

15

10

distributions used in the present study and separation results when the true numbers of components were **a** two and **b** four. The blue solid lines show the true normal distribution components. The red and green dashed lines show the components separated by the EM algorithm and their mixing curves, respectively. The values shown above each component are the mean grain sizes and the mixing proportions of the true and separated components (blue and red, respectively)

by the AIC (81.3%), ARS (69.6%), and MSE (62.9%). However, compared to the other criteria, the BIC showed a greater decrease in the correct answer rate as  $N_t$  increased, and its correct answer rate was lowest when  $N_t$  was larger than four (44.9% for  $N_t = 4$ ; 30.7% for  $N_t = 5$ ; 22.7% for  $N_t = 6$ ). By contrast, the MSE did not decrease as rapidly as  $N_t$  increased, and its correct answer rate was second highest among the criteria when  $N_t$  was 5 or more (49.0% for  $N_t$  = 5; 41.4% for  $N_t = 6$ ). The correct answer rate of AIC was high for  $N_{\rm t}$  counts up to 4 (75.1% for  $N_{\rm t}$  = 3; 59.6% for  $N_{\rm t}$  = 4), and it was comparable to those of the MSE for  $N_t$  of 5 and above (46.2% for  $N_t = 5$ ; 37.5% for  $N_t = 6$ ). The ARS showed a similar trend to the MSE, but its correct answer rate was higher than that of the MSE regardless



**Fig. 2** Correct answer rates for each criterion relative to the true number of components. Rates at which the true number of components  $N_t$  (filled symbols) or the true number of components within  $N_t \pm 1$  (white symbols) were estimated by each of the criteria in relation to  $N_t$ .

of  $N_t$ , and its correct answer rate was highest for true component counts of 4 and above (62.3% for  $N_t$ =4; 58.8% for  $N_t$ =5; 47.6% for  $N_t$ =6). The trend in the rate at which the difference between the estimated number of components was within  $N_t \pm 1$  also differed among criteria and depended on  $N_t$ . For the BIC, that rate decreased markedly when  $N_t$  was 4 or above, whereas for the MSE and ARS, it remained approximately constant at around 81% and 88%, respectively, regardless of  $N_t$ . The rates for the AIC were roughly comparable to those for the ARS, but the AIC rate was highest when  $N_t$  was 4.

The difference between the number of components estimated as optimal  $(N_e)$  and  $N_t$  ( $N_e$  minus  $N_t$ ) tended to differ among the criteria (Fig. 3). The MSE and ARS often overestimated the number of components regardless of  $N_t$ , whereas the AIC and BIC often underestimated the number of components, especially as  $N_t$  increased. The BIC more often estimated the number of components to be one fewer than the true number of components when  $N_t$  was 4 or more. When the AIC was used to estimate the number of components for data with low  $N_t$ , it rarely overestimated the number of components by more than five.

Among the nine models (i.e. with an assumed number of components of 1–9), the frequency with which the model with the true number of components was selected in the higher ranks of the criterion also tended to vary among the criteria (Fig. 4). In the present study, the percentage of cases with the true component number was highest for the BIC, exceeding 95%. Even when the true number of components was 6, the top four ranked models contained the true number of components in 95% of the cases (Fig. 4e).

### 4 Discussion

From the FOM values, it can be assumed that the parameter estimation in the present study resulted in good fitting. However, the parameter estimation of the normal distributions was not always correct, even when the true number of components was given (Fig. 1; Additional file 1: Fig. S1). In the case of estimation using actual natural grain-size distributions, the parameters of the true components are not known. For this reason, the discussion here is based on the values of the evaluation criteria obtained for each case, irrespective of the correctness of the parameter estimates. Note that the accuracy of parameter estimation and the correct answer rate for each criterion decreased with the degree of overlap of adjacent components, while it did not affect the characteristics of each criterion, discussed below. Caution should be paid with regard to the accuracy of the estimation of parameters and the number of components for cases with significant overlap of adjacent components, but this effect of the degree of overlap does not need to be taken into account in the comparison of the characteristics of each evaluation criterion in the following discussion. Details are described in Additional file 2.

The present results suggest that it is important to estimate the number of components by taking into account the estimation characteristics of each criterion: the AIC and BIC can be calculated with high accuracy when the actual number of components is small, but they tend to be slightly underestimated as the true number of components increases (Figs. 2 and 3). For this reason, caution should be exercised in their use when the grain size distribution is complex and may consist of more than five normal distributions. In contrast, the present results suggest that MSE and ARS are less sensitive to the value of the true number of components than AIC and BIC (Fig. 2); therefore, they may be effectively used for complex grain-size distributions. It should be noted, however, that the MSE and ARS may be overestimated.

The results of the present validation using artificial data suggested that the AIC or ARS would be preferable for estimating the number of components by using a single criterion for natural grain-size data where the true number of components is unknown. The present results indicate that the correct answer rate of the MSE, which has been used in previous studies of sediment grain size, is not higher than the rates of the other criteria; thus, it is not necessarily the most suitable criterion for estimating the correct number of components (Fig. 2). The correct answer rate of the ARS, which similarly tends to be less



**Fig. 3** Histograms of the difference between the estimated and the true number of components. Histograms of the difference between the number of components estimated as optimal ( $N_e$ ) and the true number of components ( $N_t$ ) ( $N_e - N_t$ ) for each criterion and each true component number. Dark gray bars indicate cases when the true number of components was estimated ( $N_e = N_t$ ). The red lines are the median values

dependent on the value of the true number of components, is higher in all component numbers from 2 to 6. Although the correct answer rate of the AIC is lower than that of the ARS when the true number of components is large, the AIC successfully estimates the true component number  $\pm 1$  at a higher rate overall; this finding suggests that use of the AIC is less likely to result in large estimation failures. Use of the AIC would be also preferred when analysing less complex grain-size distributions. Furthermore, more appropriate component number estimation might be achieved by taking into account the estimation characteristics of each criterion and using ones with complementary characteristics. For example, for the BIC, the top four models contained the correct number of components with a probability of more than 95% (Fig. 4). Considering this feature of the BIC, it should be possible to avoid the overestimation problem of, for example, the AIC or ARS, by checking whether the number of components they selected was among the higher ranked models of the BIC.

## 5 Application to natural grain-size data: an example

### 5.1 Sample and method of analysis

The four criteria tested in the present study were applied to the grain-size distribution of sediment samples from Lake Kitaura (Fig. 5). Lake Kitaura is a freshwater lake in central Japan, with an area of 35.2 km<sup>2</sup>, a maximum depth



Fig. 4 Percentage of cases in which the true component number was included above the rank. Percentage of cases in which the true component number was included above the rank for datasets with **a**–**e** 2–6 true components. The horizontal dashed line indicates 95%

of 7 m (except where it has been artificially deepened by dredging), and a mean depth of 4 m (Fig. 5). Samples of bottom surface sediment were collected with an Ekman–Birge grab sampler (15 cm  $\times$  15 cm) from two sites close to the center of the lake, KT2104-06 and KT2104-07 (Fig. 5c), in April 2021, where the water depth was 6.5 and 5.9 m, respectively. Sites KT2104-06 and KT2104-07 were 916 and 424 m, respectively, from the nearest shore. Approximately 2 g of each sample was placed in a beaker

and pre-treated with 50 mL of 10%  $H_2O_2$  to remove organic matter. Then, each sample was rinsed with purified water and dispersed with 30 mL of 5.5 g/L (NaPO<sub>3</sub>)<sub>6</sub>. The grain-size distributions were measured with a laser grain-size analyzer (SALD-2300, Shimadzu Corporation, Kyoto, Japan).

The resulting grain-size distributions of the two samples were polymodal and exhibited their highest values at around 6.0 phi (Fig. 5d). The two distributions shared



**Fig. 5** Study site location and grain-size distributions of the two samples. **a** Location and **b** bathymetry of Lake Kitaura, and **c** locations of the sampling sites (KT2104-06 and -07) and **d** grain-size distributions of the sediment samples from those two sites. The depth isobath interval is 0.5 m. Bathymetric data are from the Geospatial Information Authority of Japan (2018)

some peaks and inflexion points; for example, both distributions had peaks at around 6.0 and 7.6 phi and an inflexion point at around 8.6 phi (Fig. 5d). The sample from site KT2104-07, which was closer to the shore and at a shallower water depth, also had a slope inflexion point at around 4.3 phi, whereas the sample from site KT2104-06 lacked this feature.

The grain-size data were separated into normal distributions at phi scale, and the values of the four criteria were obtained in the same manner as in the validation on the artificial data described above (Sects. 2.1, 2.3 and 2.4).

## 5.2 Estimating the number of components using the four evaluation criteria

For the sample from site KT2104-06, which was further away from the shore, different numbers of components were estimated by each of the four criteria (Fig. 6a–d): in the MSE-, AIC-, BIC-, and ARS-based estimations, the optimal number of components was 9, 4, 3, and 6 respectively. Both the AIC-preferred model of 4 components and ARS-preferred model of 6 components were among the top four BIC models (Fig. 6c). In contrast, the MSE-preferred model of 9 components was not included among the higher ranked BIC models; this result suggests that the MSE-preferred model may be an overestimation. Different numbers of components were also estimated by the four criteria for the sample from site KT2104-07, which was closer to shore (Fig. 6f-i): in the MSE-, AIC-, BIC-, and ARS-based estimations, 8, 5, 4, and 8 components, respectively, were found to be optimal. The MSEpreferred and ARS-preferred model of 8 components was not included among the higher ranked BIC models (Fig. 6h); this result suggests overestimation. When the grain-size distributions of the samples from sites KT2104-06 and -07 were separated into normal distributions at phi scale with 4 and 5 components, respectively,



Fig. 6 Values of each criterion for the two lake sediment samples and examples of component separation. Values of **a** MSE, **b** AIC, **c** BIC, and **d** ARS for 1–9 assumed components, and **e** component separation of the sample from KT2104-06. Values of **f** MSE, **g** AIC, **h** BIC, and **i** ARS for 1–9 assumed components, and **j** component separation of the sample from KT2104-07. The boldface numbers above component number show the ranking of those models

based on the AIC criterion results given above, normal distribution components with shared mean values at 5.8–5.9, 7.5–7.6, 8.7, and 9.4 phi were estimated (Fig. 6e and j). Of these shared components, those at 5.8–5.9, 7.5–7.6, and 8.7 phi were generally consistent with the peaks and slope inflexion points visually observed in the grain-size distributions (Fig. 5d). A component with a mean value

of 4.1 phi, which was estimated only for the sample from site KT2104-07, corresponds to the slope inflexion point at around 4.3 phi visually observed in the grain size distribution (Fig. 6j). Although one advantage of the method of separation into lognormal distributions for finding the number of component is that it can be applied to a single sample (Peng et al. 2022b), as in the present example, the number of components can be estimated with greater validity and geological meaning by analyzing samples from the same vicinity and comparing the results.

### 6 Conclusions

To investigate appropriate estimation criteria for the number of components when separating a grain-size distribution into lognormal distributions, four estimation criteria were evaluated by using artificial grain-size data where the number of components was known. The criteria mean square error (MSE), Akaike information criterion (AIC), Bayesian information criterion (BIC), and adjusted R-squared (ARS) were evaluated, and the estimation characteristics of each were investigated. The results showed that estimation characteristics of the number of components differed among the criteria: the MSE and ARS were less affected by the true number of components and tended to overestimate the number of components. In comparison, the AIC and BIC showed a tendency to underestimate the number of components, with the correct answer rate decreasing as the true number of components increased. The BIC tended to include the true number of components among its higher ranked models. These evaluation results suggest that the frequently used criterion MSE is not necessarily the most appropriate evaluation criterion and that the AIC and ARS may be more appropriate. Furthermore, by checking whether the number of components estimated by the AIC or ARS is included among the higher ranked BIC models, the possibility of overestimation can be avoided, and the estimation of the number of components may be more valid. As an example, the four evaluation criteria were applied to the estimation of the number of components in grain-size distributions of bottom surface sediments from Lake Kitaura. The number of components obtained with the AIC appeared to reflect observed differences in the characteristics of the grain-size distributions.

### Abbreviations

AIC	Akaike information criterion
ARS	Adjusted R-squared
BIC	Bayesian information criterion
EM algorithm	Expectation-maximization algorithm
FOM	Figure-of-merit
MSE	Mean square error

## **Supplementary Information**

The online version contains supplementary material available at https://doi. org/10.1186/s40645-023-00601-y.

Additional file 1: Fig. S1. Relationship between the mean, mixing proportion and standard deviation of the true normal distribution component s obtained during artificial dataset prepara tion versus those of the estimated distribution components.

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### Author contributions

NY designed the study, analyzed the data, and wrote the manuscript. The author read and approved the final manuscript.

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### Availability of data and material

The data analyzed during the current study are available from the corresponding author on reasonable request.

### Declarations

#### **Competing interests**

The author declares that he has no competing interest.

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